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| APPLICATION NO.  | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--|-------------|----------------------|---------------------|------------------|
| 10/578,823   | 01/16/2007  | Todor N. Mazgalev    | CCF-018779-US-PCT   | 5247             |
| 26294 7590 07/21/2010<br>TAROLLI, SUNDHEIM, COVELL & TUMMINO L.L.P.<br>1300 EAST NINTH STREET, SUITE 1700<br>CLEVELAND, OH 44114 |             |                      |                     |                  |
| EXAMINER   |             |                      |                     |                  |
| RANADE, DIVA   |             |                      |                     |                  |
| ART UNIT   |             | PAPER NUMBER         |                     |                  |
| 3763   |             |                      |                     |                  |
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

# Office Action Summary

**Application No.**

10/578,823

**Applicant(s)**

MAZGALEV ET AL.

**Examiner**

DIVA RANADE

**Art Unit**

3763

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 18 September 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1,2,5-7,9,11-16 and 18-32 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,2,5-7,9,11-16 and 18-32 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date 07/02/09
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ ~~Notice of Informal Patent Application~~
- 6) ☐ Other: \_\_\_\_\_

## **DETAILED ACTION**

### ***Response to Arguments***

Applicant's arguments with respect to claim 1-23 and 30 have been considered but are moot in view of the new ground(s) of rejection.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1, 2, 5, 6, 7, 9, 11, 12, 13, 14, 15, 16 and 18-23 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent 6,059,726 to Lee et al in view of U.S. Publication 2004/0002740 to Lee et al.

Claims 1, 31 and 32: Lee teaches a cardiac delivery system which injects a biologically active substance into the AV node to cause a retardation of AV conduction of electrical impulses (See Lee Patent Abstract). Lee (Publication) teaches the use of fibroblasts cells in be used towards a therapeutic effect in the heart. It would have been obvious to one of ordinary skill to use the material of Lee (Publication) with the method of Lee (Patent) as one would be motivated to use any biologically active material for the purpose of retardation of electrical impulses known in the art. Furthermore, if there is a retardation occurring when the material is injected at the AV node it is in a pattern that would cause such a delay of a normal conduction pathway which biologically

comes from the SA node as in claim 31 and 32. (Also see Column 6 lines 23-37, where in Lee (Patent) discloses that the catheter used various methods during delivery to ensure the desired result).

Claim 2: Lee discloses the system wherein the cardiac delivery system further comprises at least one needle cooperating and adapted to fluidly couple the at least one needle to the source of fibroblast cells and/or a biopolymer to deliver the material to or around the AV node via the at least one needle ([0053]).

Claims 5 and 6: Lee shows that the cardiac delivery system is capable of being an intracardiac and endocardial delivery system ([0024]-[0029]).

Claim 7: Lee shows the cardiac delivery that is capable of being adapted to deliver the volume of material into or around the AV node through a vessel wall of a vessel associated with the cardiac tissue structure ([0027]).

Claim 9: Lee shows wherein the cardiac delivery system comprises at least one needle that is adapted to inject the material into or around the region of tissue at or around the AV node ([0029]).

Claims 11 and 12: Lee shows a system which is capable of treating an atrial fibrillation or ventricular tachyarrhythmia. ([0100]).

Claim 13: Lee describes a method capable of controlling the ventricular rate in a heart of a patient, which comprises administering an effective amount of a material capable of comprising fibroblast cells and/or a biopolymer to and/or around the patient's AV nodal area ([0066]-[0068] and [0077]).

Claims 14-16: Lee shows a conduction delay at the AV node which is capable of reducing the incidence of atrial fibrillation and capable of preventing ventricular tachyarrhythmia ([0100] and [0228]).

Claim 18: Lee shows the material comprising or fibroblast cells and/or a biopolymer ([0068], [0072], [0061], [0062], [0063]) delivered to or around the AV node at least in part transeptally across the atrial septum ([0026]) using a transeptal delivery sheath ([0184]).

Claim 19: Lee shows that the fibroblast cells are autologous ([0086]).

Claim 20: Less discloses wherein the material comprises of one or more biopolymers ([0078])

Claim 21: Lee discloses that the biopolymers are selected from the group consisting of fibrin, collagen, alginate, and precursors and/or derivatives thereof, and combinations of two or more thereof ([0078]).

Claim 22: Lee shows that the biopolymer recruits fibroblast cells ([0079]).

Claim 23: Lee shows wherein the fibroblast cells and/or a biopolymer are administered in at least one injection ([0040]).

Claim 18: Lee shows the material comprising or fibroblast cells and/or a biopolymer ([0068], [0072], [0061], [0062], [0063]) delivered to or around the AV node at least in part transeptally across the atrial septum ([0026]) using a transeptal delivery sheath ([0184]).

Claim 19: Lee shows that the fibroblast cells are autologous ([0086]).

Claim 20: Less discloses wherein the material comprises of one or more biopolymers ([0078])

Claim 21: Lee discloses that the biopolymers are selected from the group consisting of fibrin, collagen, alginate, and precursors and/or derivatives thereof, and combinations of two or more thereof ([0078]).

Claim 22: Lee shows that the biopolymer recruits fibroblast cells ([0079]).

Claim 23: Lee shows wherein the fibroblast cells and/or a biopolymer are administered in at least one injection ([0040]).

Claim 30: Lee shows that there are two or more injections ([0077]) and each injection comprises fibroblast cells, a biopolymer, or fibroblast cells in combination with a biopolymer ([0064]).

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 24-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Publication 2004/0002740 to Lee et al.

Claims 24-26: Lee discloses that at least one injection must occur ([0080]) but lacks that a range of 1-100 injections may occur as in claim 24-26. However, if more material needed to be injected than is

possible with one injection it would be obvious to one skilled in the art during the time of the invention to inject the patient as needed per treatment.

Claims 27-29 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Publication 2004/0002740 to Lee et al in view of U.S. Patent 5,660,850 to Boss Jr.

Claims 27-29: Lee mentions the use of fibroblast cells but does not mention the number of cells per injection. Boss mentions in his method that the number of cells that can be suspended can include up to one billion cells in 1 ml depending on the number and size of the medium or flask. (See Column 5 lines 21-23). Similarly, the amount of biopolymer injected will reflect the capacity of the injector which may hold 0.1ml to 5ml as in claims 28 and 29. Therefore it would be obvious to one skilled in the art to combine the method of Boss with Lee in order to create an adequate cell suspension for injection.

### ***Conclusion***

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the

shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DIVA RANADE whose telephone number is (571)270-7456. The examiner can normally be reached on M-R 10am-4pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nick Lucchesi can be reached on 5712724977. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/DIVA RANADE/  
Examiner, Art Unit 3763



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/Nicholas D Lucchesi/

Supervisory Patent Examiner, Art Unit 3763